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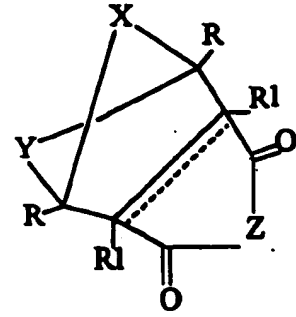
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: C08K 5/15, 5/3417, C08L 23/02	A1	(11) International Publication Number: WO 98/29495 (43) International Publication Date: 9 July 1998 (09.07.98)
(21) International Application Number: PCT/US97/07482 (22) International Filing Date: 2 May 1997 (02.05.97) (30) Priority Data: 08/773,704 27 December 1996 (27.12.96) US (71) Applicant: MINNESOTA MINING AND MANUFACTURING COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US). (72) Inventors: AMOS, Stephen, E.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). MOORE, George, G., I.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). NIELSON, Kent, E.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). WICKI, Markus, A.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). (74) Agents: SCHULTE, Daniel, C. et al.; Minnesota Mining and Manufacturing Company, Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).	(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published With international search report. With amended claims.	
(54) Title: MODIFYING AGENTS FOR POLYOLEFINS  (57) Abstract  Modifying agents for polyolefins improve the physical and/or optical properties of the polymers and have formula (I); and salts thereof, wherein X, Y, Z, R and R1 are as defined in the specification.   (I)		

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## MODIFYING AGENTS FOR POLYOLEFINS

### Field of the Invention

The invention is directed to heterocyclic modifying agents that can improve  
5 properties of compositions made from polyolefins such as polypropylene. These  
modifying agents improve the optical and/or physical properties of polymers and  
polymer blends to which they are added. The invention further relates to  
compositions that contain a polyolefin and a modifying agent as described herein,  
and to methods of improving the optical and physical properties of polyolefins using  
10 the modifying agents of the invention.

### Background of the Invention

Polyolefins, including polypropylene, are used in a variety of industrial  
applications. For some of these applications, such as packaging, storage containers,  
15 disposable medical devices, and so on, it is necessary or desirable that the product  
or article be optically clear. The optical and physical properties of the polyolefins  
are largely dependent upon the number of nucleation sites and the rate of nucleation  
during the crystallization process. The nucleation step of the overall crystallization  
process for polyolefins such as stabilized polypropylene is generally slow, so that a  
20 relatively small number of nucleation sites are formed. These nucleation sites are  
the points at which spherulites start to grow during the subsequent crystallization  
step. Because the number of sites is low, the spherulites can grow to a large size.  
When the size of the spherulites is larger than the wavelength of incident light, the  
light scatters and the polyolefin has a hazy appearance.

25 The size of the spherulites can be reduced and the optical properties of the  
polyolefin improved by the addition of nucleating and/or clarifying agents  
("modifying agents") during processing. These agents increase the number of  
nucleation sites, and therefore the rate of nucleation. The size of the spherulites  
that form at these sites is smaller and the spherulites are more numerous as a result  
30 of this heterogeneous nucleation. If the spherulites are small r in size than the

wavelength of incident light, scattering of the light is substantially reduced and the resin appears clear.

Reduction of spherulite size also affects the physical properties of the polyolefin, e.g. flexural modulus, heat distortion temperature, impact strength and elongation at break of the resin can change with the addition of a modifying agent. The enhanced heterogeneous nucleation raises the crystallization onset temperature of the resin. This can result in a reduced cycle time during processing and greater manufacturing efficiency.

Nucleating and clarifying agents are generally known in the polymer art. U.S. Patent No. 5,135,975 describes clarifying agents commercially known as the Millad™ group of products produced by Milliken Chemicals. These additives, particularly Millad™ 3988 have good organoleptic properties and resist plate-out, but dispersion problems resulting in the appearance of white specks have been encountered by some users. Relatively high process temperatures are needed to prevent the appearance of these white specks. Related clarifiers are described in JP 57-018682 and in JP 86-017834.

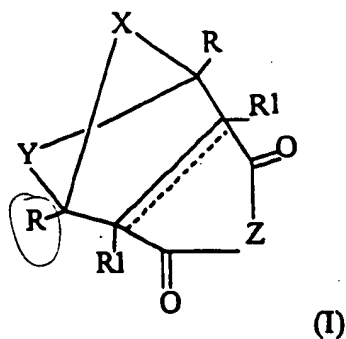
U.S. Patent No. 5,342,868 describes the use of various organophosphorus salts as clarifiers for various crystalline synthetic resins. These additives perform well but their higher cost makes them somewhat less economical for use on a large scale.

There is a need in the art for agents that can be added to polyolefins such as polypropylene that are compatible with the polyolefins and stable under polyolefin processing conditions, that improve the optical and/or physical properties of the polyolefin, and that may be efficiently obtained or produced.

25

### Summary of the Invention

We have found that compounds of formula (I) and salts thereof are useful modifying agents for polyolefins, especially as nucleating and/or clarifying agents:



wherein X is selected from

$C_{1-18}$  alkylene,  
 $C_{2-18}$  alkenylene,  
 $C_{3-18}$  cycloalkylene,  
 $C_{4-18}$  cycloalkenylene,  
 arylene, and  
 -O-;

5

10 Y is selected from

$C_{1-18}$  alkylene,  
 $C_{2-18}$  alkenylene,  
 $C_{3-18}$  cycloalkylene,  
 $C_{4-18}$  cycloalkenylene, and  
 arylene;

15

Z is selected from

-O- and -NR<sub>2</sub>-;

each R is independently selected from

-H,  
 $C_{1-18}$  alkyl,  
 $C_{3-18}$  cycloalkyl,  
 $C_{2-18}$  alkenyl,  
 $C_{4-18}$  cycloalkenyl,  
 -COR<sub>3</sub>,  
 -OH,

20

25

C<sub>1-18</sub> alkoxy, and  
C<sub>1-18</sub> alkyl substituted by one or more  
-OH, halogen, -COR<sub>3</sub>, -S-C<sub>1-18</sub> alkyl,  
aryl, or substituted aryl groups;

5

each R<sub>1</sub>, if present, is independently selected from

-H,  
C<sub>1-18</sub> alkyl,  
C<sub>3-18</sub> cycloalkyl,  
halogen, and  
-OH;

10

each R<sub>2</sub> is independently selected from

C<sub>1-18</sub> alkyl substituted by one or more  
-COR<sub>3</sub>, and optionally one or more  
aryl or substituted aryl,  
C<sub>1-18</sub> alkyl substituted by one or more  
-OH, halogen, or -C<sub>1-18</sub> alkylene-  
-S-C<sub>1-18</sub> alkyl,  
-OH,  
an other-than-linear-alkyl-substituted  
aryl, and  
-O-C<sub>1-18</sub> alkyl, optionally substituted  
by NR<sub>4</sub>R<sub>5</sub>;

15

20

25

each R<sub>3</sub> is independently selected from -OH, -O-C<sub>1-18</sub> alkyl, -O-aryl, -O-substituted  
aryl, or -NR<sub>4</sub>R<sub>5</sub>;

R<sub>4</sub> and R<sub>5</sub> are independently -H, C<sub>1-18</sub> alkyl, C<sub>3-18</sub> cycloalkyl, aryl, or  
substituted aryl; or a salt thereof.

Accordingly, the invention provides compositions comprising a polyolefin and an effective nucleating or clarifying amount of a compound of formula (I), or a salt thereof.

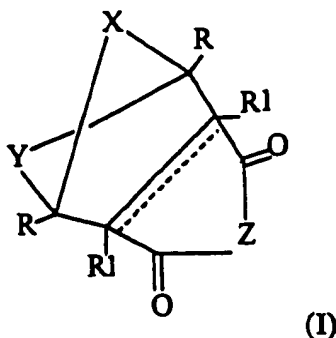
The invention further provides a method of enhancing the crystallinity of a polyolefin by adding an effective amount of a compound of formula (I), or a salt thereof, to the polyolefin.

The compounds of formula (I) act as modifying agents, e.g. nucleating and/or clarifying agents, for polyolefins. A nucleating agent acts to improve the physical properties of the polyolefin such as strength, weatherability, solvent resistance, heat deflection temperature and so on by providing sites for crystal formation, resulting in formation of increased numbers of spherulites. If the spherulites are sufficiently large to scatter visible light, the polyolefin material will not be optically clear. A clarifying agent provides compositions that are more optically clear by causing the formation of spherulites that are sufficiently small that they scatter less visible light. Generally, the different physical properties of the polyolefin such as the yield stress and impact resistance tend to vary as the spherulites become smaller in size, so that the desired optical clarity and physical properties should be balanced when determining the type and amount of modifying agent to use.

20

### Detailed Description of the Invention

The modifying agents of the invention are compounds of formula (I):



25 wherein X is selected from

C<sub>1-18</sub> alkylene,



5

C<sub>2-18</sub> alkenylene,  
C<sub>3-18</sub> cycloalkylene,  
C<sub>4-18</sub> cycloalkenylene,  
arylene, and  
-O-;

Y is selected from

10

C<sub>1-18</sub> alkylene,  
C<sub>2-18</sub> alkenylene,  
C<sub>3-18</sub> cycloalkylene,  
C<sub>4-18</sub> cycloalkenylene, and  
arylene;

Z is selected from

15 each R is independently selected from

-O- and -NR<sub>2</sub>-;

20

-H,  
C<sub>1-18</sub> alkyl,  
C<sub>3-18</sub> cycloalkyl,  
C<sub>2-18</sub> alkenyl,  
C<sub>4-18</sub> cycloalkenyl,  
-COR<sub>3</sub>,  
-OH,  
C<sub>1-18</sub> alkoxy, and  
C<sub>1-18</sub> alkyl substituted by one or more  
-OH, halogen, -COR<sub>3</sub>, -S-C<sub>1-18</sub> alkyl,  
aryl, or substituted aryl groups;

25

each R<sub>1</sub>, if present, is independently selected from

30

-H,  
C<sub>1-18</sub> alkyl,  
C<sub>3-18</sub> cycloalkyl,

halogen, and

-OH;

each R2 is independently selected from

5

C<sub>1-18</sub> alkyl substituted by one or more

-COR3, and optionally one or more

aryl or substituted aryl,

C<sub>1-18</sub> alkyl substituted by one or more

-OH, halogen, or -C<sub>1-18</sub> alkylene-

10

-S-C<sub>1-18</sub> alkyl,

-OH,

an other-than-linear-alkyl-substituted

aryl, and

-O-C<sub>1-18</sub> alkyl, optionally substituted

15

by NR4R5;

each R3 is independently selected from -OH, -O-C<sub>1-18</sub> alkyl, -O-aryl, -O-substituted aryl, or -NR4R5;

20 R4 and R5 are independently -H, C<sub>1-18</sub> alkyl, C<sub>3-18</sub> cycloalkyl, aryl, or substituted aryl; or a salt thereof.

In the above formulas each alkyl, alkenyl, alkylene and alkenylene group can be straight or branched. For example, "C<sub>1-18</sub> alkyl" includes methyl, ethyl, n-propyl, i-propyl, n-butyl, s-butyl, i-butyl, t-butyl, and so on. The cycloalkyl, cycloalkenyl, aryl, cycloalkylene, cycloalkenylene, and arylene groups include groups that are  
25 alkyl substituted, up to the specified number of carbon atoms.

"Aryl" includes carbocyclic and heterocyclic aryl, but is preferably carbocyclic aryl, most preferably phenyl.

"Heterocyclic aryl" includes, for example, pyrrolyl, imidazolyl, indolyl, pyridyl, thiophenyl, furyl, and the like.

"Substituted aryl" includes any carbocyclic or heterocyclic aryl group substituted as desired, for example by one or more hydroxy, alkyl, cycloalkyl, alkoxy, or halogen.

An "other-than-linear-alkyl-substituted" aryl includes carbocyclic and heterocyclic aryl groups substituted by one or more substituted group that is not a linear alkyl group. The substituted group that is not a linear alkyl group can be, for example, hydroxy, cycloalkyl, alkoxy, acids such as carboxylic acid, and halogen groups. This can include such aryls that are additionally substituted by one or more alkyl group.

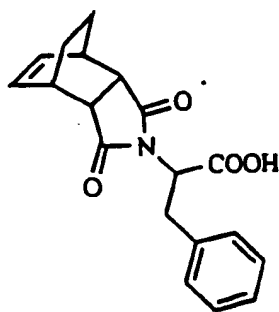
Each ring in the compound has a maximum of 8 ring atoms.

Many compounds useful in the invention contain one or more chiral centers. In this instance the invention includes each enantiomer or diastereomer as well as mixtures (e.g. racemic mixtures) of the enantiomers or diastereomers.

For fused ring systems, such as those wherein X and/or Y are divalent cyclic groups, attachment at the 1 and 2 positions is preferred, although other types of fusion such as 1,1 and 1,3 are possible.

A preferred class of modifying agents includes the compounds of formula (I) wherein X is C<sub>1-18</sub> alkylene and Y is C<sub>1-18</sub> alkenylene.

One preferred modifying agent is N-(1'-carboxy-2'-phenylethyl)-bicyclo[2.2.2]oct-5-en-2,3 dicarboximide which has the following structure:



The modifying agent can be included in a composition of the present invention in an amount sufficient to provide desired optical and/or physical properties to the composition. Preferably, the modifying agent can be present in an

amount in the range from about 0.001 to 1 wt-% based on the total composition weight, more preferably from about 0.15 to 0.7 wt-%.

The modifying agents useful in the invention are known and commercially available, or can be readily synthesized from commercially available materials using methods known in the chemical art.

The modifying agents of the invention can improve physical properties of polyolefins such as polypropylene, as well as polyolefin copolymers derived from monomers comprising at least one olefinic monomer. The polyolefins can generally have a melt flow rate in the range from about 1 to 70, preferably about 7 to 35g/10 min according to ASTM D-1238.

Polyolefins useful in the composition of the invention include polymers and copolymers derived from one or more olefinic monomer of the general formula  $\text{CH}_2=\text{CHR''}$ , wherein R'' is hydrogen or  $\text{C}_{1-18}$  alkyl. Examples of such olefinic monomers include propylene, ethylene, and 1-butene, with propylene being generally preferred. Representative examples of polyolefins derived from such olefinic monomers include polyethylene, polypropylene, polybutene-1, poly(3-methylbutene), poly(4-methylpentene) and copolymers of ethylene with propylene, 1-butene, 1-hexene, 1-octene, 1-decene, 4-methyl-1-pentene, and 1-octadecene.

The polyolefin may optionally comprise a copolymer derived from an olefinic monomer and one or more further comonomers that are copolymerizable with the olefinic monomer. These comonomers can be present in the polyolefin in an amount in the range from about 1 to 10 wt-% based on the total weight of the polyolefin. Useful such comonomers include, for example, vinyl ester monomers such as vinyl acetate, vinyl propionate, vinyl butyrate, vinyl chloroacetate, vinyl chloropropionate; acrylic and alpha-alkyl acrylic acid monomers, and their alkyl esters, amides, and nitriles such as acrylic acid, methacrylic acid, ethacrylic acid, methyl acrylate, ethyl acrylate, N,N-dimethyl acrylamide, methacrylamide, acrylonitrile; vinyl aryl monomers such as styrene, o-methoxystyrene, p-methoxystyrene, and vinyl naphthalene; vinyl and vinylidene halide monomers such as vinyl chloride, vinylidene chloride, and vinylidene bromide; alkyl ester monomers of maleic and fumaric acid such as dimethyl maleate, and diethyl maleate;

vinyl alkyl ether monomers such as vinyl methyl ether, vinyl ethyl ether, vinyl isobutyl ether, and 2-chloroethyl vinyl ether; vinyl pyridine monomers; N-vinyl carbazole monomers, and N-vinyl pyrrolidine monomers.

The polyolefin may also contain a metallic salt form of a polyolefin, or a  
5 blend thereof which contains free carboxylic acid groups. Illustrative of the metals which can be used to provide the salts of said carboxylic acid polymers are the one, two and three valence metals such as sodium, lithium, potassium, calcium, magnesium, aluminum, barium, zinc, zirconium, beryllium, iron, nickel and cobalt. Preferred polyolefins include polypropylene homopolymers and copolymers of  
10 propylene with ethylene, 1-butene, 1-hexene, 1-octene, 4-methyl-1-pentene, vinyl acetate, or methyl acrylate.

The polyolefins can also include blends of these polyolefins with other polyolefins or copolymers or blends thereof containing conventional adjuvants such as antioxidants, light stabilizers, acid neutralizers, fillers, antiblocking agents and  
15 pigments.

Representative blends of polyolefins useful in this invention include blends of polyethylene and polypropylene, low density polyethylene and high-density polyethylene, and polyethylene and olefin copolymers derived from an olefinic monomer and one or more of the above-described optional copolymerizable  
20 comonomers, e.g., ethylene and acrylic acid copolymers; ethylene and methyl acrylate copolymers; ethylene and ethyl acrylate copolymers; ethylene and vinyl acetate copolymers; ethylene, acrylic acid, and ethyl acrylate copolymers, and ethylene, acrylic acid, and vinyl acetate copolymers.

The modifying agent may be incorporated into the polyolefin using any  
25 method that does not cause significant degradation or vaporization of the modifying agent. This can be accomplished using any mixing method that is convenient, such as a melt mixer, an extruder, and the like. The modifying agent may be dry blended with the polyolefin in flake, granule, or pellet form; a liquid melt, dispersion, suspension or solution of the modifying agent may be combined with the polyolefin  
30 in flake, granule, or pellet form; or a concentrated blend of the modifying agent a polyolefin may first be prepared and then blended with the polyolefin to obtain a

final desired concentration of modifying agent. If such a concentrated blend is prepared the modifying agent can be present in the concentration blend in an amount in the range from about 0.5 to 5 wt-%.

If desired, components may be added to the polyolefin in addition to the modifying agent. Examples of such components include pigments, antioxidants, acid neutralizers, antistatic agents, ultraviolet light absorbers, and hindered amine stabilizers.

The modifying agent can be added to the polyolefin at any time during processing of the polyolefin, so long as adequate dispersion of the modifying agent is obtained before the polyolefin begins to crystallize.

The polyolefin containing a desired amount of modifying agent can be formed into articles as desired in any manner known in the art. For example the polymer can be injection molded, extruded, thermoformed, compression molded, and so on to form desired shapes and articles.

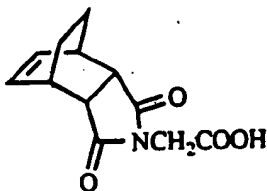
The invention is further described by reference to the following examples, which are understood to be illustrative and not limiting of the invention.

### Examples

#### Preparative Example 1

20

N-carboxymethyl-bicyclo[2.2.2]oct-5-en-2,3-dicarboximide



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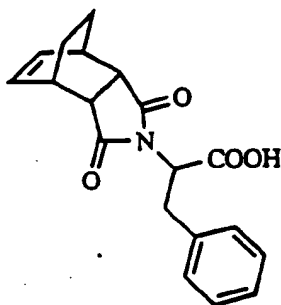
To a suspension of bicyclo[2.2.2]oct-5-en-2,3-dicarboxylic anhydride (688 mg, 3.9 mmol) in H<sub>2</sub>O (10 mL) was added glycine (348 mg, 4.6 mmol), and a solution of K<sub>2</sub>CO<sub>3</sub> (321 mg, 2.3 mmol) in H<sub>2</sub>O (2 mL). The mixture was heated to reflux temperature and allowed to react for 4 hrs. After cooling to room temperature, the mixture was acidified with conc. HCl until the pH reached 1 and

extracted with ethyl acetate (EtOAc). The organic layer was washed with brine and dried over  $\text{Na}_2\text{SO}_4$ . Removal of the solvent gave a colorless solid which was recrystallized from EtOAc/hexane to yield the product as colorless crystals: 653 mg (72% yield), mp=142 °C.

5

Preparative Example 2

N-(1'-carboxy-2'-phenylethyl)-bicyclo[2.2.2]oct-5-en-2,3-dicarboximide



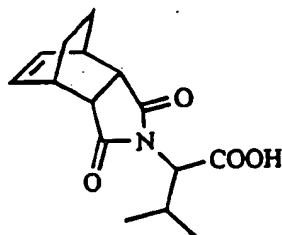
10 To a solution of maleic anhydride (10.30 g, 105.0 mmol) in toluene (100 mL) was added 1,3-cyclohexadiene (8.41 g, 105.0 mmol). The mixture was heated to reflux temperature and allowed to react for 3 hrs. After cooling to room temperature, the solvent was evaporated and the remaining solid was recrystallized from EtOAc/hexane to yield pure bicyclo[2.2.2]oct-5-en-2,3-dicarboxylic anhydride  
15 as colorless crystals: 14.11 g (75% yield), mp=117 °C.

To a suspension of L-phenylalanine (502 mg, 3.0 mmol) in  $\text{H}_2\text{O}$  (15 mL) was added a solution of  $\text{K}_2\text{CO}_3$  (252 mg, 1.8 mmol) in  $\text{H}_2\text{O}$  (3 mL) and the mixture was stirred until a homogeneous solution was obtained. Bicyclo[2.2.2]oct-5-en-2,3-dicarboxylic anhydride (452 mg, 2.5 mmol) was added and the mixture was  
20 heated to reflux temperature and allowed to react for 19 hrs. After cooling to room temperature, the reaction mixture was washed with EtOAc and acidified with conc. HCl until the pH reached 1. The aqueous phase was extracted with EtOAc and the organic phase washed with 5% HCl followed by brine and then dried over  $\text{Na}_2\text{SO}_4$ . Removal of the solvent gave a colorless solid which was recrystallized from  
25 EtOAc/hexane to yield the pure product as colorless crystals: 475 mg (58% yield), mp=161 °C.

Preparative Example 3

N-(1'-carboxy-2'-methylpropyl)-bicyclo[2.2.2]oct-5-en-2,3-dicarboximide

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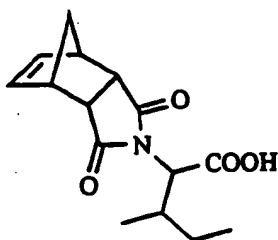


To a suspension of bicyclo[2.2.2]oct-5-en-2,3-dicarboxylic anhydride (2.01 g, 11.3 mmol) in H<sub>2</sub>O (25 mL) was added (dl)-valine (1.58 g, 13.5 mmol) and a solution of K<sub>2</sub>CO<sub>3</sub> (934 mg, 6.8 mmol) in H<sub>2</sub>O (3 mL). The mixture was heated to reflux temperature and allowed to react for 4 hrs. After cooling to room temperature, the mixture was washed with EtOAc, acidified with conc. HCl until the pH reached 1 and extracted with EtOAc. The organic layer was washed with 5% HCl followed by brine and then dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of the solvent gave a beige solid which was recrystallized from EtOAc/hexane to yield the product as off-white crystals: 1.72 g (55% yield), mp=156 °C.

Preparative Example 4

N-(1'-carboxy-2'-methylbutyl)-bicyclo[2.2.1]hept-5-en-2,3-dicarboximide

20



To a suspension of (dl)-isoleucine (2.03 g, 15.5 mmol) in toluene (40 mL) was added triethylamine (2.4 mL, 17.2 mmol). The mixture was heated to reflux temperature and bicyclo[2.2.1]hept-5-en-2,3-dicarboxylic anhydride (2.53 g, 15.5

25



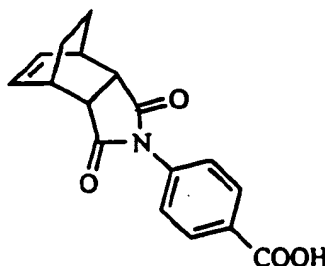
mmol) was added. The reaction mixture was kept at reflux temperature and allowed to react for 4 hrs. After cooling to room temperature, the solvent was evaporated and the residue dissolved in H<sub>2</sub>O. The aqueous solution was washed with EtOAc, acidified with conc. HCl until pH=1, and extracted with EtOAc. The organic phase was washed with 5% HCl and brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of the solvent gave a white solid (3.72 g) which was recrystallized from EtOAc/hexane to yield the pure product as colorless crystals: mp=109 °C.

In the following Examples and Comparative Examples, various compositions of polyolefins and clarifying and/or nucleating additives were prepared. The compositions were evaluated by measuring crystallization properties using Differential Scanning Calorimetry (DSC) according to ASTM D-794-85, run at a rate of 20°C/min and by measuring haze using ASTM D 1003-92. All percentages given are in wt% unless otherwise stated.

15

#### Preparative Example 5

N-(4-carboxyphenyl)-bicyclo[2.2.2]oct-5-en-2,3-dicarboximide



To a solution of K<sub>2</sub>CO<sub>3</sub> (5.88 g, 42.5 mmol) in H<sub>2</sub>O (100 mL) was added 4-aminobenzoic acid (5.83 g, 42.5 mmol) and bicyclo [2.2.2]oct-5-en-2,3-dicarboxylic anhydride (7.20 g, 40.4 mmol). The reaction mixture was stirred at room temperature for 18 hrs. and then washed with ethylacetate (EtOAc) (75 mL). The aqueous phase was acidified with conc. HCl until the pH reached 1. The precipitated crystals were filtered and dried: 11.77 g (98%), mp=269°C.

25

#### Formulation Example

A composition of the invention was made in a Haake Rheocord 90 melt mixer by combining 0.950 g of the compound of Preparative Example 1 with 190 g

of Quantum™ 8310 GO, available from Quantum Chemical Co., Cincinnati, OH. The polymer was a random copolymer with 3% ethylene and contained an additive package of 300 ppm Irganox™ 1010 antioxidant, 500 ppm Ultrinox™ 626 antioxidant and 1000 ppm calcium stearate.

- 5           The composition was mixed for three minutes at 200°C and 15 rpm and for an additional five minutes at 170°C at 50 rpm with a one minute transition period between the two sets of conditions.

          The crystallization parameters of the composition were determined by DSC. A sample was heated to 200°C, held for 10 minutes, and cooled at a rate of 20°C  
10       per minute to about 40°C. Crystallization temperature at onset and peak temperature were recorded.

          To determine clarification ability of the composition, a compression molded haze plaque was prepared by heating 6.5 -7 g of the composition to 200°C for three minutes on a Carver hotpress. The sample was then pressed to (10,000 psi) with a  
15       0.5mm spacer for four minutes. The plaque was then water cooled to 20-25°C. Percent haze was then measured on a BYK Gardencr XL-211 Hazegard System to determine the haze.

          A similar method was used to prepare similar compositions from polyolefin and the compounds described in Table 1.

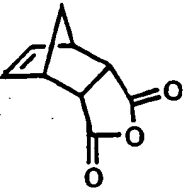
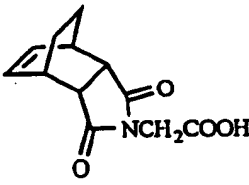
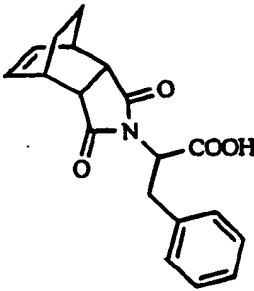
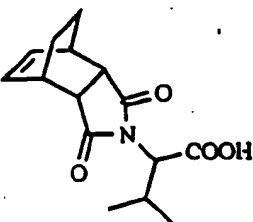
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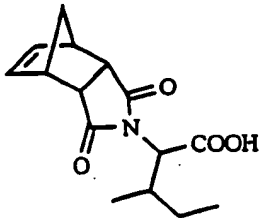
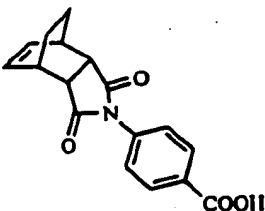
#### Control Example

          In the Control Example, a compression molded plaque was made in a manner similar to that described in the Formulation Example except that no nucleating or clarifying agent was used. The % haze of the control material was  
25       determined by preparing a plaque using a Cincinnati Milacron 50 ACT-D injection molding machine. The machine operated at 230°C and the mold was maintained at 32°C. After about 30 seconds, the 1.2mm thick plaque was ejected from the mold and evaluated as above.

          A variety of nucleating/clarifying agents were compounded with polyolefin  
30       and evaluated for haze and crystallinity as described in the above Formulation Example. The results are reported in Table 1.

**Table 1: Cyclopentadiene/Cyclohexadiene Derived Anhydrides and Imide Acids as Nucleators/Clarifiers**

Entry	Additive	Additive Conc. (%w/w)	Cryst. Temp. (DSC onset) [°C]	Cryst. Temp. (DSC peak max) [°C]	% Haze
A	none	-	97.1	89.8	55
B		0.25	109.2	105.2	36
C		0.5	115.7	109.5	38
D		0.5	116.8	111.6	22
E		0.5	105.2	100.0	22

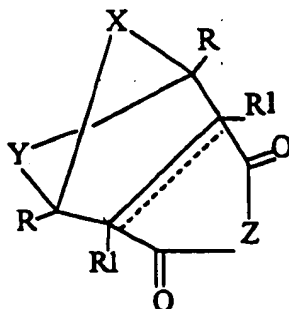
Entry	Additive	Additive Conc. (%w/w)	Cryst. Temp. (DSC onset) [°C]	Cryst. Temp. (DSC peak max) [°C]	% Haze
F		0.5	104.4	99.0	42.5
G		0.5	108.4	102.7	48.9

The foregoing specification and examples provide a complete description of the invention. However, because many variations are possible without departing from the spirit and scope of the invention, the invention resides solely in the claims

5 which follow.

## WE CLAIM:

1. A composition comprising a polyolefin and an effective amount of a modifying agent, said modifying agent comprising a compound of formula (I):



(I)

5 wherein X is selected from

C<sub>1-18</sub> alkylene,  
C<sub>2-18</sub> alkenylene,  
C<sub>3-18</sub> cycloalkylene,  
C<sub>4-18</sub> cycloalkenylene,  
arylene, and  
-O-;

10

Y is selected from

C<sub>1-18</sub> alkylene,  
C<sub>2-18</sub> alkenylene,  
C<sub>4-18</sub> cycloalkylene,  
C<sub>3-18</sub> cycloalkenylene, and  
arylene;

15

Z is selected from

-O- and -NR<sub>2</sub>-;

20 each R is independently selected from

-H,  
C<sub>1-18</sub> alkyl,  
C<sub>3-18</sub> cycloalkyl,  
C<sub>2-18</sub> alkenyl,  
C<sub>4-18</sub> cycloalkenyl,

25

-COR<sub>3</sub>,  
-OH,  
C<sub>1-18</sub> alkoxy, and  
C<sub>1-18</sub> alkyl substituted by one or more  
-OH, halogen, -COR<sub>3</sub>, -S-C<sub>1-18</sub> alkyl,  
aryl, or substituted aryl groups;

each R1, if present, is independently selected from

-H,  
C<sub>1-18</sub> alkyl,  
C<sub>3-18</sub> cycloalkyl,  
halogen, and  
-OH;

15 each R2 is independently selected from

**C<sub>1-18</sub> alkyl substituted by one or more  
-COR<sub>3</sub>, and optionally one or more  
aryl or substituted aryl,  
C<sub>1-18</sub> alkyl substituted by one or more  
-OH, halogen, or -C<sub>1-18</sub> alkylene-  
-S-C<sub>1-18</sub> alkyl,  
-OH,  
an other-than-linear-alkyl-substituted  
aryl, and  
-O-C<sub>1-18</sub> alkyl, optionally substituted  
by NR<sub>4</sub>R<sub>5</sub>;**

each R3 is independently selected from -OH, -O-C<sub>1-18</sub> alkyl, -O-aryl, -O-substituted aryl, or -NR<sub>4</sub>R<sub>5</sub>;

30 R4 and R5 are independently -H, C<sub>1-18</sub> alkyl, C<sub>3-18</sub> cycloalkyl, aryl, or substituted aryl; or a salt thereof.

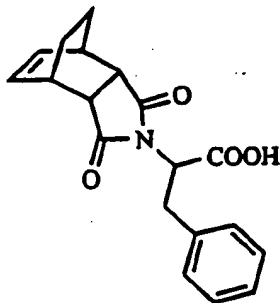
2. The composition of claim 1, wherein X is  $C_{1-18}$  alkylene and Y is  $C_{1-18}$  alkenylene.

5 3. The composition of claim 1, wherein X is  $-CH_2CH_2-$  and Y is  $-CH=CH-$ .

4. The composition of claim 1, wherein X is  $-CH_2-$  and Y is  $-CH=CH-$ .

10 5. The composition of claim 3, wherein Z is  $-NR_2$ .

6. The composition of claim 1, wherein the compound of formula (I) has the following structure:



15 7. The composition of claim 1, wherein Z is  $-NR_2$  and  $R_2$  is  $-OH$ .

8. The composition of claim 1, wherein X and Y are both 1,2-phenylene.

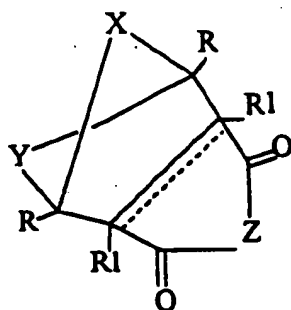
20 9. The composition of claim 1, wherein each  $R_1$  is  $Cl$ .

10. The composition of claim 1, wherein the polyolefin comprises a copolymer of propylene and ethylene.

11. The composition of claim 1, wherein the modifying agent is present in an amount in the range from about 0.001 to 1 wt.-%, based on the total weight of the composition.

5 12. The composition of claim 1, wherein the modifying agent is present in an amount in the range from about 0.15 to 0.7 wt.-%, based on the total weight of the composition.

10 13. A method of enhancing the physical properties of a polyolefin, the method comprising the step of adding an effective amount of a compound of formula (I):



(I)

wherein X is selected from

C<sub>1-18</sub> alkylene,  
C<sub>2-18</sub> alkenylene,  
C<sub>3-18</sub> cycloalkylene,  
C<sub>4-18</sub> cycloalkenylene,  
arylene, and  
-O-;

15

20 Y is selected from

C<sub>1-18</sub> alkylene,  
C<sub>2-18</sub> alkenylene,  
C<sub>4-18</sub> cycloalkylene,  
C<sub>3-18</sub> cycloalkenylene, and  
arylene;

25



Z is selected from

-O- and -NR<sub>2</sub>-;

each R is independently selected from

5

-H,

C<sub>1-18</sub> alkyl,

C<sub>3-18</sub> cycloalkyl,

C<sub>2-18</sub> alkenyl,

C<sub>4-18</sub> cycloalkenyl,

-COR<sub>3</sub>,

10

-OH,

C<sub>1-18</sub> alkoxy, and

C<sub>1-18</sub> alkyl substituted by one or more

-OH, halogen, COR<sub>3</sub>, -S-C<sub>1-18</sub> alkyl,

aryl, or substituted aryl;

15

each R<sub>1</sub>, if present, is independently selected from

-H,

C<sub>1-18</sub> alkyl,

C<sub>3-18</sub> cycloalkyl,

20

halogen, and

-OH;

each R<sub>2</sub> is independently selected from

25

C<sub>1-18</sub> alkyl substituted by one or more

-COR<sub>3</sub>, and optionally one or more

aryl or substituted aryl,

C<sub>1-18</sub> alkyl substituted by one or more

-OH, halogen, or -C<sub>1-18</sub> alkylene-

-S-C<sub>1-18</sub> alkyl,

30

-OH,

an other-than-linear-alkyl-substituted  
aryl, and  
-O-C<sub>1-18</sub> alkyl, optionally substituted  
by NR<sub>4</sub>R<sub>5</sub>;

5

each R<sub>3</sub> is independently selected from -OH, -O-C<sub>1-18</sub> alkyl, -O-aryl, -O-substituted  
aryl, or -NR<sub>4</sub>R<sub>5</sub>;

R<sub>4</sub> and R<sub>5</sub> are independently -H, C<sub>1-18</sub> alkyl, C<sub>3-18</sub> cycloalkyl, aryl, or  
substituted aryl; or a salt thereof.

10

14. The method of claim 13, wherein X is C<sub>1-18</sub> alkylene and Y is C<sub>1-18</sub>  
alkenylene.

15. The method of claim 13, wherein X is -CH<sub>2</sub>CH<sub>2</sub>- and Y is -CH=CH-.

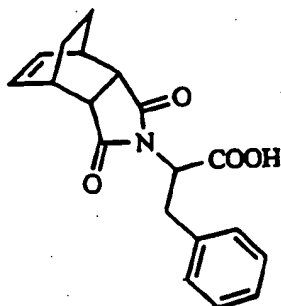
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16. The composition of claim 13, wherein X is -CH<sub>2</sub>- and Y is  
-CH=CH-.

17. The method of claim 15, wherein Z is -NR<sub>2</sub>.

20

18. The method of claim 13, wherein the compound of formula (I) has  
the following structure:



19. The method of claim 13, wherein Z is -NR<sub>2</sub> and R<sub>2</sub> is -OH.

25

20. The method of claim 13, wherein X and Y are both 1,2-phenylene.
21. The method of claim 13, wherein each R1 is Cl.
- 5 22. The method of claim 13, wherein the polyolefin comprises polypropylene.
23. The method of claim 13, wherein the modifying agent is added to the polyolefin in an amount in the range from about 0.001 to 1 wt.-%, based on the  
10 total amount of compound and polyolefin.
24. The method of claim 13, wherein the modifying agent is added to the polyolefin in an amount in the range from about 0.15 to 0.7 wt.-%, based on the  
total amount of compound and polyolefin.

15

## AMENDED CLAIMS

[received by the International Bureau on 8 December 1997 (8.12.97);  
new claims 25 and 26 added; remaining claims unchanged (1 page )]

20. The method of claim 13, wherein X and Y are both 1,2-phenylene.
21. The method of claim 13, wherein each R1 is Cl.
- 5 22. The method of claim 13, wherein the polyolefin comprises polypropylene.
23. The method of claim 13, wherein the modifying agent is added to the polyolefin in an amount in the range from about 0.001 to 1 wt.-%, based on the  
10 total amount of compound and polyolefin.
24. The method of claim 13, wherein the modifying agent is added to the polyolefin in an amount in the range from about 0.15 to 0.7 wt.-%, based on the total amount of compound and polyolefin.
- 15 25. The composition of claim 1, further comprising an antioxidant.
26. The method of claim 13, further comprising the step of adding an antioxidant.

20

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/07482

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C08K5/15 C08K5/3417 C08L23/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 2 290 296 A (SANDOZ) 20 December 1995 see page 2, paragraph 4 - page 3, paragraph 1 see examples 8,24,28	1-15
X	see page 23; claims 1,4,5,8; figure VI	1-5,10, 13,15
A	FR 2 656 620 A (M & T CHEMICALS) 5 July 1991 see page 4, paragraph 2; claims 1,4,8,10 -----	1,3,5, 10,13

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

25 September 1997

Date of mailing of the international search report

- 8. 10. 97

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Authorized officer

Engel, S

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. onal Application No

PCT/US 97/07482

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2290296 A	20-12-95	DE 19520262 A FR 2721036 A JP 8012809 A	14-12-95 15-12-95 16-01-96
FR 2656620 A	05-07-91	NONE	